

STATE OF CONNECTICUT

STATE ETHICS COMMISSION

DOCKET NUMBER 2004-4)	STATE ETHICS COMMISSION
IN THE MATTER OF A)	20 TRINITY STREET
COMPLAINT AGAINST)	HARTFORD, CT 06106
EDNA M. BERASTAIN)	AUGUST 13, 2004

STIPULATION AND ORDER

Pursuant to Conn. Gen. Stat. § 4-177(e), the State Ethics Commission and the Respondent agree to settle this matter in the manner described below:

- The Commission finds that the Respondent violated §1-84b(b) of the Code of Ethics for Public Officials as alleged in the Complaint dated July 9, 2004.
- 2. The Respondent submits that the violations were unintentional and the result of her failing to fully implement her responsibilities under the Code of Ethics for Public Officials upon termination of her State services. The Respondent further states that she did not receive any personal financial gain from her change of employment in that her compensation dropped approximately \$15,000 per year when she left state service to accept a position as Executive Director of Latinos/as Contra SIDA, Inc.
- 3. The Respondent waives any rights she may have under Conn. Gen. Stat. § §1-82, 1-82a, 1-87 and 1-80, including any right to a hearing or appeal in this case, and agrees with the Commission to an informal disposition of this matter as authorized by Conn. Gen. Stat. §4-177(c).

WHEREFORE, the State Ethics Commission enters, and the Respondent, Edna M. Berastain, agrees to, the following order in lieu of any other action regarding this matter:

- 1. The Respondent agrees to pay \$1,000.00 to the State Ethics Commission within thirty days of the signing of this Stipulation and Order. Nothing in this order shall be construed as precluding the Respondent from seeking reimbursement of the civil penalty from Latinos/as Contra SIDA, Inc.
- The Respondent will henceforth comply with the post-state employment 2. requirements of the Code of Ethics for Public Officials.

Respondent, Edna M. Berastain

Chairperson

State Ethics Commission

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- 2. The Respondent submits that the violations were unintentional and the result of her failing to fully implement her responsibilities under the Code of Ethics for Public Officials upon termination of her State services. The Respondent further states that she did not receive any personal financial gain from her change of employment in that her compensation dropped approximately \$15,000 per year when she left state service to accept a position as Executive Director of Latinos/as Contra SIDA, Inc.
- 3. The Respondent waives any rights she may have under Conn. Gen. Stat. § §1-82, 1-82a, 1-87 and 1-80, including any right to a hearing or appeal in this case, and agrees with the Commission to an informal disposition of this matter as authorized by Conn. Gen. Stat. §4-177(c).

WHEREFORE, the State Ethics Commission enters, and the Respondent, Edna M. Berastain, agrees to, the following order in lieu of any other action regarding this matter:

- The Respondent agrees to pay \$1,000.00 to the State Ethics Commission 1. within thirty days of the signing of this Stipulation and Order. Nothing in this order shall be construed as precluding the Respondent from seeking reimbursement of the civil penalty from Latinos/as Contra SIDA, Inc.
- 2. The Respondent will henceforth comply with the post-state employment requirements of the Code of Ethics for Public Officials.

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Edua Berastar: Respondent, Edna M. Berastain

Aug. 10, 1004

Chairperson

State Ethics Commission

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